

**MORTGAGE FIRMS AND INSURANCE INTERMEDIARIES FEES (2004/2005)  
INSTRUMENT 2004**

**Powers exercised**

- A. The Financial Services Authority makes this instrument in the exercise of the following powers and related provisions in the Financial Services and Markets Act 2000 (“the Act”):
- (1) section 156 (General supplementary powers); and
  - (2) paragraph 17(1) of Schedule 1 (Fees).
- B. The rule-making powers listed above are specified for the purpose of section 153(2) (Rule-making instruments) of the Act.

**Commencement**

- C. This instrument comes into force on 17 December 2004 (in part) and 14 January 2005 (in part) as follows:
- (1) SUP 20 Ann 2R part 1 A2 and A18 come into force on 17 December 2004;
  - (2) SUP 20 Ann 2R part 1 A19 comes into force on 17 December 2004 insofar as it relates to any regulated activity in relation to a long-term care insurance contract; and
  - (3) SUP 20 Ann 2R part 1 A19 comes into force on 14 January 2005 insofar as it relates to any regulated activity except in relation to a long-term care insurance contract.

**Amendments to the Supervision manual**

- D. The Supervision manual is amended in accordance with the Annex to this instrument.

**Citation**

- E. This instrument may be cited as the Mortgage Firms and Insurance Intermediaries Fees (2004/2005) Instrument 2004.

By order of the Board  
16 December 2004

## Annex

### Amendments to the Supervision manual

In this Annex underlining indicates new text, striking through indicates deleted text.

SUP 20 Ann 2R

Fee tariff rates, permitted deductions and EEA/Treaty firm modifications for the period from 1 April 2004 to 31 March 2005

Part 1

This table shows the tariff rates applicable to each fee-block.

Activity group	Fee payable	
...	...	...
A.2	<del>{to be made later}</del>  <u>Minimum fee (£)</u>  <u>No. of mortgages</u> <u>0 – 50</u> <u>51 – 500</u> <u>501 – 1,000</u> <u>1,001 – 50,000</u> <u>50,001 – 500,000</u> <u>&gt;500,000</u>	  <u>200</u>  <u>Fee (£/mortgage)</u> <u>0</u> <u>2.08</u> <u>0.83</u> <u>0.62</u> <u>0.21</u> <u>0.04</u>
...	...	...
A.18	<del>{to be made later}</del>  <u>Minimum fee (£)</u>  <u>£ thousands of Annual Income (AI)</u> <u>0 – 100</u> <u>&gt;100 – 1,000</u> <u>&gt;1,000 – 5,000</u> <u>&gt;5,000 – 10,000</u> <u>&gt;10,000 – 20,000</u> <u>&gt;20,000</u>	  <u>300</u>  <u>Fee (£/£ thousand or part £ thousand of AI)</u> <u>0</u> <u>2.50</u> <u>2.08</u> <u>1.67</u> <u>1.25</u> <u>1.04</u>
A.19	<del>{to be made later}</del>  <u>Minimum fee (£)</u>  <u>£ thousands of Annual Income (AI)</u> <u>0 – 100</u> <u>&gt;100 – 1,000</u> <u>&gt;1,000 – 5,000</u> <u>&gt;5,000 – 15,000</u> <u>&gt;15,000 – 100,000</u> <u>&gt;100,000</u>	  <u>100</u>  <u>Fee (£/£ thousand or part £ thousand of AI)</u> <u>0</u> <u>0.84</u> <u>0.74</u> <u>0.53</u> <u>0.21</u> <u>0.08</u>
...	...	...